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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/659,382	09/08/2000	Ronald G. Haagenstad	P4380	4990		
7	590 07/02/2003					
Donald W Margolis P O Box 20338 3445 Penrose Place Suite 225			EXAMINER			
			BEACH, THOMAS A			
Boulder, CO 80308			ART UNIT	PAPER NUMBER		
			3671			
			DATE MAILED: 07/02/2003	DATE MAILED: 07/02/2003		

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary		Application No.		Applicant(s)				
		09/659,382		HAAGENSTAD, RONALD G.				
		Examiner	1	Art Unit				
		Thomas A Beach		3671				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status								
1) 🗆	Responsive to communication(s) filed on	·						
2a)□	This action is <b>FINAL</b> . 2b)⊠ T	his action is non-fina	ıl.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims								
·	Claim(s) 1-8 is/are pending in the application	l.						
,	4a) Of the above claim(s) is/are withdrawn from consideration.							
5)⊠ Claim(s) <u>1-6</u> is/are allowed.								
6)⊠ Claim(s) <u>7-8</u> is/are rejected.								
7) Claim(s) is/are objected to.								
,								
Application Papers								
9) 🗌 .	The specification is objected to by the Examin	er.						
10)⊠ The drawing(s) filed on <u>08 September 2000</u> is/are: a)□ accepted or b)⊠ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.								
If approved, corrected drawings are required in reply to this Office action.								
12)☐ The oath or declaration is objected to by the Examiner.								
Priority under 35 U.S.C. §§ 119 and 120								
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
a) ☐ All b) ☐ Some * c) ☐ None of:								
1. Certified copies of the priority documents have been received.								
	2. Certified copies of the priority documents have been received in Application No							
Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.								
14) 🗌 A	cknowledgment is made of a claim for domes	tic priority under 35 l	U.S.C. § 119(e)	(to a provisional	application).			
a) ☐ The translation of the foreign language provisional application has been received.  15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.								
Attachmen	t(s)							
2) D Notic	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449) Paper No(s)	5) 🔲 N	iterview Summary (F otice of Informal Pat ther:					
J.S. Patent and To PTO-326 (Re		Action Summary		Part of Paper No. 5				

Application/Control Number: 09/659,382

Art Unit: 3671

#### DETAILED ACTION

#### Oath/Declaration

- 1. The reissue oath/declaration filed with this application is defective (see 37 CFR
- 1.175 and MPEP § 1414) because of the following:

The oath or declaration is defective because: It does not identify the citizenship of each inventor.

2. In accordance with 37 CFR 1.175(b)(1), a supplemental reissue oath/declaration under 37 CFR 1.175(b)(1) must be received before this reissue application can be allowed.

Claims 1-8 are rejected as being based upon a defective reissue declaration under 35 U.S.C. 251. See 37 CFR 1.175. The nature of the defect is set forth above.

Receipt of an appropriate supplemental oath/declaration under 37 CFR 1.175(b)(1) will overcome this rejection under 35 U.S.C. 251. An example of acceptable language to be used in the supplemental oath/declaration is as follows:

"Every error in the patent which was corrected in the present reissue application, and is not covered by a prior oath/declaration submitted in this application, arose without any deceptive intention on the part of the applicant."

### **Drawings**

3. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the leading end edge, trailing end edge, and side edges of the bucket must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Application/Control Number: 09/659,382

Art Unit: 3671

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

## Claim Objections

4. Claims 7-8 are objected to because of the following informalities: claim 7 recites the limitation "said first end edge" in line 6 and "said first leading end edge" in line 7 and "said leading edge" in line 8. There is insufficient antecedent basis for this limitation in the claim since only the limitation "leading end edge" has been claimed. Also, --a--should be inserted before "leading" on line 2. Appropriate correction is required.

## Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 6. Claim 7 is rejected under 35 U.S.C. 102(b) as being anticipated by Clark 3,724,899. Clark shows a tiered trenching system with a bucket 43 having leading, trailing, and side edges where a first tooth mounting means (left element 66 in figure 5) is secured to the leading edge in a direction substantially orthogonal (b, figure 2) to that edge and a second tooth mounting means (right element 66 in figure 5) secured to the leading edge parallel to the first tooth mount but spaced from the first tooth mount.

Page 4

Application/Control Number: 09/659,382

Art Unit: 3671

- 7. Claims 7-8 are rejected under 35 U.S.C. 102(b) as being anticipated by Hensley 2,705,380. Hensley shows a tiered trenching system with a bucket 51 having leading, trailing, and side edges where a first tooth mounting means 12 (figure 7) is secured to the leading edge in a direction substantially orthogonal (figure 6) to that edge and a second tooth (other element 12 in figure 7) mounting means secured to the leading edge parallel to the first tooth mount but spaced from the first tooth mount. Hensley shows means for coupling to removably attach a pair of teeth 14 (figures 4-5) to each first and second tooth mounts.
- 8. Claims 7-8 are rejected under 35 U.S.C. 102(b) as being anticipated by Hendricks 4,729,180. Hendricks shows a tiered trenching system with a bucket 11 having leading, trailing, and side edges where a first tooth mounting means 30 (left side of element 1 in figure 1) is secured to the leading edge in a direction substantially orthogonal (figure 3) to that edge and a second tooth mounting means 30 (right side of element 1 in figure 1) secured to the leading edge parallel to the first tooth mount but spaced from the first tooth mount. Hendricks shows means for coupling to removably attach a pair of teeth (figure 3) indirectly to each first and second tooth mounts via element 2.

## Allowable Subject Matter

9. Claims 1-6 are allowed.

Page 5

Application/Control Number: 09/659,382

Art Unit: 3671

## Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thomas A Beach whose telephone number is 703.305.4848. The examiner can normally be reached on Monday-Thursday, 8:00am-6:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas Will can be reached on 703.308.3870. The fax phone numbers for the organization where this application or proceeding is assigned are 703.305.7687 for regular communications and 703.305.7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703.306.4198.

Thomas A. Beach

June 26, 2003

Supervisory Patent Examiner

**Group 3600**